



Earth Observation Laboratory PhD Program in GeoInformation DISP - Tor Vergata University

Velocity vector estimation of moving targets from SAR images

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THESIS ORGANIZATION

- 1) Analysis of SAR theory and effects of the motion in SAR images
- 2) Analysis of the developed raw data simulator for moving target
- 3) Velocity estimation algorithm to derive the motion parameters from two-channel raw data
- 4) Analysis on the possibility to apply the velocity estimation algorithm to single channel SAR with sub-aperture processing
- 5) Velocity estimation algorithm from amplitude data

MAIN CONTRIBUTIONS

- 1) Development of a SAR raw data simulator for MTI applications
- 2) Development of a velocity estimation algorithm which derives the motion parameters from two-channel raw data without a priori information
- 3) Theoretical demonstration of the information type which can be obtained from a splitted single aperture
- 4) Development of a velocity estimation algorithm working on the amplitude images without a priori information





SAR PROCESSING THEORY







MOTION EFFECTS IN SAR IMAGES





RAW DATA SIMULATOR

The (IRF) is characterized by the received voltage and the antenna directivity

• Received voltage in baseband

$$\hat{v}_{r}(\eta,t \mid \eta_{c},t_{0}) = 0,5 \exp\left[-j\Theta(\eta)\right] \cdot \exp\left\{j\pi K\left[t-2\frac{R(\eta)}{c}\right]^{2}\right\} \qquad \left|t-2\frac{R(\eta)}{c}\right| \leq \frac{\tau}{2} \qquad \left|\eta-\eta_{c}\right| \leq \frac{S}{2}$$

$$\Phi(\eta) = \frac{4\pi}{\lambda}R(\eta)$$
Key of the simulator and of the SAR processor
$$Antenna \text{ directivity}$$

$$D(\theta) = \operatorname{sinc}^{2}\left[\frac{L_{a}}{\lambda} \cdot \sin\theta\right] \qquad \eta_{c}$$

$$\theta \text{ very small } \sin\theta \quad \theta$$

$$\theta = tg^{-1}\left(\frac{\eta-\eta_{c}}{R_{0}}\right)$$

$$D(\eta\mid\eta_{c}) = \operatorname{sinc}^{2}\left[\frac{L_{a}}{\lambda} \cdot tg^{-1}\left(\frac{\eta-\eta_{c}}{R_{0}}\right)\right]$$
Impulse function in each pixel
$$h_{SAR}(\eta,t\mid\eta_{c},t_{0}) = D(\eta\mid\eta_{c}) \cdot \hat{v}_{r}(\eta,t\mid\eta_{c},t_{0})$$

Sum of all the voltage contributions coming from the pixel provides raw data

$$V = \sum_{\eta_c} \sum_{t_0} h_{SAR}(\eta, t \mid \eta_c, t_0)$$





Amplitude raw data Phase raw data 200 30 Azimuth Azimuth 800 300 Range Range Hyperbolic form of the phase contour caused from the range equation Azimuth 30 Degradation of the Hyperbolic iso-phase for the noise

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Range





- 1. The algorithm retrieves the two velocity components of moving targets from two-channel raw data;
- 2. The coupling of range and azimuth velocity is taken in account
- $R_{mov}(\eta) \approx a + b\left(v_{rg}\right)\eta + c\left(v_{az}\right)\eta^{2}$ $R_{mov}(\eta) \approx a + b\left(v_{rg}\right)\eta + c\left(v_{rg}, v_{az}\right)\eta^{2}$
- Classical mode: both components are decoupled
 - Algorithm: considers the coupling in the "c" term

The estimation of the linear term *a* is not possible if the quadratic term is not compensated. But the estimation of the quadratic term needs the compensation of the linear term!

USED VELOCITY ESTIMATION TECHNIQUES

To derive the full velocity vector is necessary to use amplitude and phase information

- 1. Azimuth velocity: use of an azimuth filters banka (amplitude information)
- 2. Range velocity: use of the Along Track Interferometry (ATI) with two channels (phase information)





The raw data are focused using a bank of azimuth filters; the analysis of the IRF allows to estimate the azimuth velocity, matching the right filter



To recontruct the IRF in strong noise, the profile is approximated to the Gaussian function that is better correlated with the reference signal reconstruction





In two-channel SAR system the antennas along the flight-line are separated by a spatial baseline











Azimuth error

mean

7,5%

12.3%

25%



- To obtain the statistical parameters of the estimations:
- 1. We choose a velocity vector
- 2. We varied the range velocity within a little interval
- 3. We varied the azimuth velocity within a little interval

Background

Constant (20 dB)

Sea

Shrubs

The ATI can fail for low SCR (<15 dB)

For high range velocity the signal energy of the moving target might be shifted outside the azimuth processed bandwidth

Difficulty to reconstruct the azimuth profile

Difficulty to estimate the low azimuth velocity

Azimuth standard

deviation

2.2 m/s

3.3 m/s

7.1 m/s

CONSIDERATIONS

- 1. MTI applications need high resolution and high SCR ata
- 2. We simulated the parameters characteristics of ERS with the intent to apply the algorithm on single channel SAR \rightarrow starting disadvantageous situation

Range error

mean

6.5%

21,9%

35%

- 3. We work without a priori information
- 4. The ATI suffers low SCR

For TerraSAR-X, with SCR=5dB the standard deviation of the derived range velocity is 30 km/h

Range standard

deviation

0.1 m/s

 $0.3 \,\mathrm{m/s}$

3 m/s





Aim: simulate a two channel SAR by generating two sub-apertures of single channel







PRE - FILTERING



 η_{ap} defines the baseline between the two antennas in ATI configuration





TWO CHANNEL

The antennas acquire two sets of data each with a different time centers R_{ci} . If the target moves in the range direction, the temporal difference corresponds to a shift of the slant range centers s_{ci}





MATHEMATICAL ANALYSIS



SAR PROCESSING BASIC THEORY

The target moves with radial velocity $v_{sr} = v_{rg} \cdot sen(\vartheta)$ $R_{mov}(s) = R_c - \lambda \frac{(f_{Dc} - v_{sr})}{2} (s - s_c) - \lambda \frac{f_R}{2} \frac{(s - s_c)^2}{2}$ Range migration: $\hat{g}(s,t) = B \exp\left(-j4\pi \frac{R_{mov}(s)}{\lambda}\right) \cdot \operatorname{sinc}\left\{\pi B\left[t - 2\frac{R_{mov}(s)}{c}\right]\right\}$ Range compressed data: $\zeta(s_{c} | s_{ci}, R_{c}) = \int_{a_{ci}}^{s_{ci}+s_{i}/2} \hat{g}(s | s_{ci}, R_{c}) h_{az}^{-1}(s - s_{ci} | s_{ci}, R_{c}) ds$ Azimuth compression: Two errors are made, choosing a stationary matched filter and compensating the range migration as for stationary target SUB-APERTURE PROCESSING $s_{c1} + S_1 / 2$ $s_{c2} + S_2 / 2$ $\xi(s_c'|s_{c2}, R_c) = \int_{a_{c2}} \hat{g}(s|s_{c2}, R_c) \cdot h_{az}^{-1}(s - s_{c2}'|s_{c2}, R_c) ds$ $\zeta(s_c \, | s_{c1}, R_c) = \int_{S_c/2} \hat{g}(s \, | \, s_{c1}, R_c) \cdot h_{az}^{-1}(s - s_{c1} \, | \, s_{c1}, R_c) ds$ **Tor Vergata** 11, ja, ja, 11, ja – ¹¹ alas kait, ja a. a 11, ja, ja a. j Compressed signal amplitude: 2. [2.]2. [2.] - - [2.]2. [2.]2. [2.]2. [2.]2. [2.]2. [2.]2. [2.]2. [3.]2. [Compressed signal phase: 27-05-2008 17 of 25



The amplitude and the phase differential between the two channels shows a negligible increase with the velocity

10

15

20

π/58

 $\pi/39$

 $\pi/30$

< π/1000

< π/1000

< π/1000

< π/1000

 $< \pi / 1000$

< π/1000

< π/1000

< π/1000

< π/1000

Note: errors for numerical approximations and for the use of a finite-length FIR filter

0

0

0

0.03

0

10

15

20

2.6

3.8

5.1

0.69

0.86

0.79

VELOCITY ESTIMATION FROM AMPLITUDE

We propose an algorithm which estimates the full velocity vector of the ships from amplitude images, more easily available, without *a priori* information, using the Radon Transform (RT). It is very light from the computational point of view





METHODOLOGY SCHEME







METHODOLOGY SCHEME







METHODOLOGY SCHEME







RESULTS



SIMULATION RESULTS

A sea scene is simulated using the gamma distribution

•To consider the speckle effect, we vary the variance of the gamma function

•To analyze the <u>sensitivity to the wake visibility</u> we vary the ratio between background mean level and the wake level (Wake-Sea Ratio, WSR).





wake as linear structure.





- I) We presented two methodologies to estimate the velocity vector from raw data and amplitude data
- 2) The first algorithm was validated with simulated data: the analysis demonstrated that is not applicable to single channel. The algorithm presents a very strong motivation: the coupling between range and azimuth velocity must be considered
- 3) The second algorithm was validated with simulated and real data, producing very promising results
- 4) Future developments:

<u>1° algorithm</u>: improve the selection criterion for the choice of the right filter, using also the phase information; develop an algorithm to retrieve the range velocity from the peak position in sub-aperture images.

<u>2° algorithm</u>: improve the pre-processing, using dedicated filters and wavelet transforms to reduce the noise





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- [3] A.Radius, P.A.C.Marques, "A Novel Methodology for Full Velocity Vector Estimation of Ships Using SAR Data", Proceedings of the 7th European Conference on Synthetic Aperture Radar, EUSAR'04 (accepted), 2-5 June 2008.
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A.Radius, P.A.C.Marques, "The SOVE algorithm for Full Velocity Vector Estimation of Ships Using Amplitude SAR Data", Quartas Jornadas de Engenharia de Electrónica e Telecomunicações e de Computadores, JETC '08 (submitted), 20-21 November 2008





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